

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Shawn DeFrees, David A. Zopf, Zhi-Guang Wang, Henrik Clausen

Application No./Patent No.: 10/585,385

Filed/Issue Date: July 6, 2006

Corresponding to PCT Patent Application No. PCT/US05/00799 filed January 10, 2005

Entitled: O-LINKED GLYCOSYLATION OF PEPTIDES

Neose Technologies, Inc.

a Delaware corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- ☒ the assignee of the entire right, title, and interest, or
- ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventors of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

- From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.
- From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.
- From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

August 1, 2008

Date

  
Signature

George J. Vergis, Ph.D.

Typed or printed name

President and Chief Executive Officer

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.:40853-01-5138-US01  
Client Reference No.: NEO00261US/371

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Shawn DeFrees, 126 Filly Drive, North Wales, PA 19454 and David A. Zopf, 560 West Beechtree Lane, Wayne, PA 19087, Zhi-Guang Wang, 1473 Golden Drive, Dresher, PA 19015, and Henrik Clausen, Soebrinken 6, Holte, Denmark, DK-2840, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified patent application:

Title of Invention:	O-LINKED GLYCOSYLATION OF PEPTIDES
Filing Date:	July 6, 2006
Application No.:	10/585,385; and

WHEREAS, Neose Technologies, Inc., located at 102 Rock Road, Horsham, PA 19044, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on any patent application claiming priority from the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that Assignors will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Morgan, Lewis & Bockius LLP, One Market, Spear Street Tower, San Francisco, CA 94105, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed his/her names on the dates indicated.

Dated: June 19, 2008

  
SHAWN DEFREES

STATE OF PENNSYLVANIA )  
 ) ss.  
COUNTY OF MONTGOMERY )

On 6/19/08, before me, Lynne E. Conklin personally appeared Shawn DeFrees, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Lynne E. Conklin  
NOTARY PUBLIC

My Commission Expires:

Dated:

DAVID A. ZOPF

On the date above, before me (see Witnesses below) personally appeared DAVID A. ZOPF, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS NO. 1.: \_\_\_\_\_ Date: \_\_\_\_\_

WITNESS NO. 2.: \_\_\_\_\_ Date: \_\_\_\_\_

IN TESTIMONY WHEREOF, Assignors have signed his/her names on the dates indicated.

Dated:

SHAWN DEFREES

[illegible]

On \_\_\_\_\_, before me, \_\_\_\_\_ personally appeared Shawn DeFrees, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires:

Dated: 15 July 2008

DAVID A. ZOPF

On the date above, before me (see Witnesses below) personally appeared DAVID A. ZOPF, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS NO. 1: Em J. Sundgren Date: July 15, 2008

WITNESS NO. 2.: Charles A. Bunch Date: July 15, 2005

Dated: \_\_\_\_\_

ZHI-GUANG WANG

[illegible]

On \_\_\_\_\_, before me, \_\_\_\_\_ personally appeared Zhi-Guang Wang, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires:

Dated: \_\_\_\_\_

HENRIK CLAUSEN

On the date above, before me (see Witnesses below) personally appeared HENRIK CLAUSEN, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS NO. 1.: \_\_\_\_\_ Date: \_\_\_\_\_

WITNESS NO. 2.: \_\_\_\_\_ Date: \_\_\_\_\_

Dated: \_\_\_\_\_

ZHI-GUANG WANG

[illegible]

On \_\_\_\_\_, before me, \_\_\_\_\_ personally appeared Zhi-Guang Wang, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: \_\_\_\_\_

Dated: Aug 1, 2008

HENRIK CLAUSEN

On the date above, before me (see Witnesses below) personally appeared HENRIK CLAUSEN, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS NO. 1.:

Date:

WITNESS NO. 2.:

Date: